#### WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



#### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6: WO 99/20036 (11) International Publication Number: H04N 1/60 A1 (43) International Publication Date: 22 April 1999 (22.04.99) PCT/US98/20969 (81) Designated States: JP, European patent (AT, BE, CH, CY, DE, (21) International Application Number: DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). (22) International Filing Date: 6 October 1998 (06.10.98) Published (30) Priority Data:

08/950,580

15 October 1997 (15.10.97)

US

POLAROID CORPORATION [US/US]; 784 Memorial Drive, Cambridge, MA 02139-3589 (US).

(72) Inventors: VIGNEAU, Michael, J.; 45 Forest Street, Stoneham, MA 02180 (US). THORNTON, Jay, E.; 56 Lincoln Street, Watertown, MA 02172 (US). HULTGREN, Broor, O., III; 6 Jeffrey's Neck Road, Ipswich, MA 01938 (US).

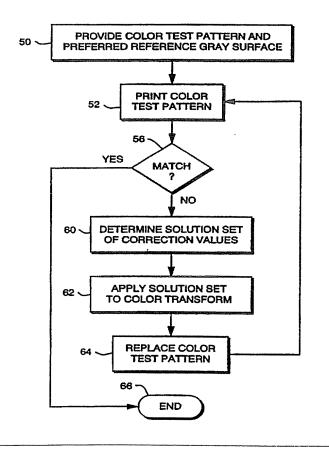
(74) Agent: MOFFORD, Donald, F.; Polaroid Corporation, 784 Memorial Drive, Cambridge, MA 02139-3589 (US).

With international search report.

(54) Title: PRINTER CALIBRATION

#### (57) Abstract

A system and method for calibrating a printer includes the steps or functions of: printing a predetermined color test pattern with the printer by transforming data, representing said predetermined color test pattern, from a device-independent color space to a device-dependent color space associated with the printer by use of a color transform, said predetermined color test pattern including a set of color patches with one of said color patches representing a preferred mid-gray hue; providing a predetermined reference gray surface; comparing said reference gray surface to said set of colored patches of the printed test pattern to find a closest matching one of said patches, if the closest matching one of said patches is the patch representing the preferred mid-gray hue, then ending the method; otherwise determining, for each color channel of the printer, a solution set of correction values as a function of believed gray versus actual gray, said solution set derived from and including a white point, a black point and a point mapped at {G<sub>b</sub>, G<sub>a</sub>} where Gb is the believed gray value of the closest matching one of said patches and Ga is the actual gray value of the closest matching one of said patches; and adding to the color transform the corresponding solution set for each color channel of the printer.



#### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
$\mathbf{B}\mathbf{A}$	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	ТJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
$\mathbf{BF}$	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
ВЈ	Benin	ΙE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	$\mathbf{U}\mathbf{Z}$	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	$\mathbf{z}\mathbf{w}$	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

BNSDOCID: <WO\_\_\_\_\_9920036A1\_I\_>

#### PRINTER CALIBRATION

### BACKGROUND OF THE INVENTION

This invention relates generally to a method and apparatus for calibration of printers. More particularly, the invention relates to a printer calibration method and apparatus whereby an unsophisticated user can calibrate a color printer by visually matching a reference gray card with a corresponding patch on a printed test pattern, without the use of a color measurement instrument such as a colorimeter or spectrophotometer.

A common problem when dealing with digital color imaging devices is getting the colors to match. For example, a color scanner is an input device in an image processing system that operates in some given device-independent color space such as red, green and blue (RGB) color space where colors are described in terms of RGB values or digits. The RGB values associated with specific colors are particular for the given device so that a digital imaging device which uses RGB digits (such as a monitor, digital camera or scanner) transforms a digital image into device-dependent RGB color space having RGB values which are dependent not only on the colors in the image, but on the particular device being used.

Digital output devices, e.g. printers, also operate in a given device-dependent color space which typically differs from those used by input devices. For example, many printers operate in cyan magenta, yellow and black (CMYK) device-dependent color

I

5

10

15

space where output device color digits are CMYK values. Moreover, since the CMYK values are device-dependent, colors printed on any given printer will probably not match colors printed on a different printer for the same CMYK values. Further complicating color matching between devices is the fact that different devices have different color capabilities. Every rendering device, such as a printer or monitor, has a limited range of colors, i.e. gamut, that it can reproduce. Those skilled in the art will recognize that color display monitors tend to be able to produce a wider range of lighter colors whereas color printers tend to be able to produce a wider range of darker colors. Consequently, the gamut for a color display monitor is different from the gamut for a color printer. As a result, some colors displayed on monitors cannot be reproduced on color printers and vice versa. In other words, the combination of color device digits needed to acquire, process or render a particular color for one device is usually not the same as the combination of color device digits needed to acquire, process or render device.

Many solutions exist for transforming color information from an input device to an output device in such a way that the perceived colors in the image are preserved. Often, the solution requires multiple transformations to account for more than one pair of input and output devices. Figure 1 illustrates a block view of a typical imaging system 8 that incorporates such transforms.

A physical image 10 is acquired in digital form by an image acquisition, e.g. input, device such as a scanner 12. The scanner 12 translates the physical image 10

2

5

10

15

into a digital image 14 having device digits which are dependent upon the scanner 12. The digital image 14 is then sent for processing in the computer 6 where it is passed though two separate transforms. The first transform 16 converts the digital image 14 into a first printer image 20 appropriate for sending to a printer 24 of a particular model ("Model A"). The second transform 18 converts the digital image 14 into a second printer image 22 appropriate for sending to printers 26 or 28 of a different model ("Model B"). The first printer image 20 is then sent to the Model A printer 24, which produces a hardcopy 30. The second printer image 22 is sent to two distinct Model B printers 26 and 28 which produce hardcopies 32 and 34, respectively.

There are many ways in which the transforms 16 and 18 can be implemented. A common method is to use profiles for each input and output device. A device profile is standardized and defined as "a digital representation of the relation between device coordinates and a device-independent specification of color" in the International Color Consortium (ICC) Profile Format Specification, Version 3.3, November 11, 1996, page 101 incorporated herein in its entirety for supplemental background information which is non-essential but helpful in appreciating the applications of the present invention. The characterization of a device's image pixel data in device-independent color space is commonly codified in a tagged file structure, referred to as the device profile, that accompanies the digital imaging device.

3

5

10

15

A standard ICC profile includes header information, a tag table and tagged element data. The profile header provides the necessary information to allow a receiving system to properly search and sort ICC profiles. The header includes, but is not limited to, the following parameters: size; color management module (CMM) type; version number; device class; color space; connection space; creation date and time; file signature; primary platform target; flags; device manufacturer; device model; device attributes; rendering intent; XYZ values; and the name of the creator.

The profile size is given in bytes. The CMM, profile version number and device class are each identified. The three basic device profile classes are input, output and display. Profiles are also classified as device link, color space conversion, abstract or named color profiles. Device link profiles provide a mechanism in which to save and store a series of device profiles and non-device profiles in a concatenated format as long as the series begins and ends with a device profile. Color space conversion profiles are used as a convenient method for CMMs to convert between different non-device color spaces. Abstract color profiles provide a generic method for users to make subjective color changes to images or graphic objects by transforming the color data within a profile connection space (PCS) to be described later. Named color profiles are related to device profiles so that, for a given device there would be one or more device profiles to handle process color conversions and one or more named color profiles to handle named colors. The color space of the data stored in the profile could be any color space such as XYZ, L\*a\*b\*, Luv, RGB, CMY, CMYK, etc. Further, the profile connection space can be any

4

5

10

15

device-independent color space such as XYZ or L\*a\*b\*. The primary platform signature indicates the primary platform or operating system for which the profile was created. The profile flags indicate various hints for the CMM such as distributed processing and caching options. The device attributes are noted which are unique to the particular device setup such as the media type. The rendering intent is either perceptual, relative colorimetric, saturation or absolute colorimetric.

The tag table acts as a table of contents for the profile tags and the tag element data therein. Each profile classification requires a different set of tags. Of course, the intent of using tags with profiles is to provide a common base level of functionality. One example of a tag is the calibrationDateTimeTag which provides profile calibration date and time. Initially, this tag matches the contents of the creationDateTime header flag. This allows applications and utilities to verify if this profile matches a vendor' profile and how recently calibration has been performed. Another example of a tag is the mediaWhitePointTag which specifies the media white point and is used for generating absolute colorimetry. It is referenced to the profile connection space so that the media white point as represented in the profile connection space is equivalent to this tag value. Many other profile tags are available as described in the ICC specification.

The ICC specification further defines a Profile Connection Space (PCS) as a device-independent color space which can be used as a standard intermediary color space for transforming color information from one device-dependent color space to another (e.g. RGB to CMYK). For example, the transformation of a color image from a digital

5

5

10

15

camera to a printer can be described as a transformation into the PCS via the digital camera's profile followed by a transformation out of the PCS via the printer's profile. The PCS, however, is a virtual space so that the image may never actually be represented in the PCS on disk or in a computer memory. Thus, the PCS is regarded as a virtual stage of the image processing in contrast to an interchange or exchange color space, which is an encoding for the storage and transmission of images.

Although the use of profiles is common as standardized by the ICC, any known procedure for transforming color data between device-independent space and device-dependent space can be utilized with the present invention.

Figure 2 illustrates the use of profiles to implement the transforms of Figure 1. The digital image 14 is transformed into a device-independent image 42 in device-independent color space in accordance with information supplied by the scanner profile 40. Thereafter the device-independent image 42 is transformed into the device-dependent color space of the printers 24, 26 and 28 (see Figure 1) in accordance with information supplied by the printer profiles 44 and 46, respectively. If the profiles 44 and 46 each contain the proper information for transforming the color image data from the device-independent image 42, the resulting hardcopies or physical images 30, 32 and 34 generated by the printers 24, 26 and 28, respectively, should look identical. In practice, this is rarely the case due to variations within the printers. These variations are caused by numerous factors such as: tolerances in original parts used to manufacture the printers; variations in amount and type of

6

5

10

15

usage; changes in the device's consumables (e.g. new paper, new ink, new ribbons); changes in the environment (e.g. temperature and humidity); maintenance; replacement of parts; and aging of the device over time. Moreover, a transform is designed to be used not only for a particular printer or model of printer, but for a particular set of viewing conditions for the resultant physical image. One aspect of these viewing conditions is the spectral power distribution of light in which the physical image is viewed. Small variations in the actual lighting used can lead to variations in the observed image. In fact, gross variations in lighting usually negate the desired effect of the transform, resulting in poor color reproduction of the physical image when produced by the printer.

In order to compensate for the above shortcomings in consistent hardcopy reproduction of an image, calibration is used to discover and correct for variations in individual printer behavior.

There are many methods of printer calibration currently in existence.

Typically, calibration is performed by printing out a set of color patches, measuring those patches with a color-measuring instrument such as a spectrophotometer or colorimeter, comparing those measurements with a set of expected measurements, and correcting for the difference between the two sets. For a given color patch, a spectrophotometer measures the spectrum of energy reflected across the range of visible wavelengths, whereas a colorimeter measures the specific device-independent values of a color patch.

7

5

10

15

Disadvantages to conventional calibration methods include the requirement of expensive measurement equipment (such as the spectrophotometer or colorimeter), intricate knowledge of the equipment's operation and interpretation of the resulting measurements. Since calibration is often performed in the field by the end user of the system, rather than by the system designers, this puts a burden on the end user. Furthermore, measuring instruments are subject to error. For instance, most instruments contain their own light source to illuminate the object being measured. Many of these instruments assume that the same type of light will be used to view the measured object, but this is rarely the case in real-world situations. Even devices such as spectrophotometers, which try to eliminate the effect of their built-in illuminant, are subject to error because the spectral power distribution of the built-in light may vary from the spectral power distribution of the actual illuminant used, and any fluorescence effects will cause calculated measurements to be incorrect. Also, there is no accounting for any small difference between the actual lighting and the assumed lighting.

It is thus a primary object of the present invention to overcome the above and other disadvantages of conventional printer calibration by providing an easy to use printer calibration method and system.

It is another object of the present invention to provide a printer calibration method and system which does not require the use of external measuring devices such as a spectrophotometer or colorimeter.

8

5

10

15

It is yet another object of the present invention to provide a printer calibration method and system which accounts for possible small variations between the actual lighting and the lighting which is expected by the transform.

It is further an object of the present invention to provide a printer calibration method and system which overcomes variations between individual printers of a same make and model.

It is still further an object of the present invention to provide a printer calibration method and system which generates a solution set of correction values for each color channel of a printer in response to a mid-gray point derived as a function of actual versus believed color values for that point.

These and other objects of the invention will be apparent to those skilled in the art from the following detailed description when read in conjunction with the accompanying drawings and the appended claims.

### SUMMARY OF THE INVENTION

A system and method for calibrating a printer includes the steps or functions of: printing a predetermined color test pattern with the printer by transforming data, representing said predetermined color test pattern, from a device-independent color space to a device-dependent color space associated with the printer by use of a color transform, said predetermined color test pattern including a set of color patches with one of said color patches representing a preferred mid-gray hue; providing a predetermined reference gray surface; comparing said reference gray surface to said set of colored patches of the

9

5

10

15

printed test pattern to find a closest matching one of said patches, if the closest matching one of said patches is the patch representing the preferred mid-gray hue, then ending the method; otherwise determining, for each color channel of the printer, a solution set of correction values as a function of believed gray versus actual gray, said solution set derived from and including a white point, a black point and a point mapped at  $\{G_b, G_a\}$  where  $G_b$  is the believed gray value of the closest matching one of said patches and  $G_a$  is the actual gray value of the closest matching one of said patches; and adding to the color transform the corresponding solution set for each color channel of the printer.

## BRIEF DESCRIPTION OF THE DRAWINGS

The aforementioned aspects and other features of the invention are described in detail in conjunction with the accompanying drawings in which the same reference numerals are used throughout for denoting corresponding elements and wherein:

Figure 1 is diagram of one preferred embodiment of an image processing system which can be used in conjunction with the present invention for printer calibration;

Figure 2 is a diagram illustrating the use of device profiles as a way of implementing the transforms shown in Figure 1;

Figure 3 is a flowchart diagram of a preferred embodiment of the inventive printer calibration method;

Figure 4 is a representation of one preferred color test pattern for use in accordance with the principles of the invention;

10

5

10

15

Figure 5 is a representation of one preferred section of the color test pattern of Figure 4;

Figure 6 is a representation of another preferred section of the color test pattern of Figure 4;

Figure 7 is a normalized plot of a solution set of correction points represented as a correction curve of believed gray versus actual gray points as estimated in accordance with the principles of the invention; and

Figure 8 is a graph which illustrates two different coordinate systems, rectangular and polar, of a device-independent color space.

# 10 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

A method and system are presented for calibrating color printers that results in printed images whose colors have a close visual match, despite variations between the actual printers and the color transforms designed for those printers. The method hinges on the technique of modifying most of the color transformations based on the discovery of a single correction curve parameter for each device color channel. This "single-point" adjustment does not produce a precise match across the entire color gamut of the device, but brings enough colors into line to enhance the perceptual matching of images considerably.

An important criteria used in judging the quality of an image is the degree to which achromatic colors (grays) are reproduced. Images in which gray areas appear tinted or colored are usually judged to be poor quality. In particular, grays that are

11

5

15

mid-level (halfway between white and black) are more critical to match than those at the extremes (i.e., full white and full black). Thus, a good choice for a single point mid-gray value (associated with a preferred mid-gray hue as viewed by the user) with which to match for predicting maximum benefit across all gray levels is the mid-gray CIELAB value of L\*=50, a\*=0, b\*=0 for use with media that is neutral white. For media that is slightly colored, the a\* and b\* values of a preferred mid-gray hue color patch should match those of the media itself if the patch is to appear gray. Although the preferred embodiment described herein is directed towards use of a neutral white media, the method extends to non-neutral white media using the above compensation of the a\* and b\* channels for rendering the preferred mid-gray hue.

To summarize the process, the printer digits that the transform believes will produce the preferred mid-gray hue (i.e. believed gray) are discovered and stored, then the digits that actually do produce the preferred mid-gray hue (i.e. actual gray) are discovered and stored, then a solution set of correction values for each color channel is calculated using the stored corresponding pair of digits. More specifically, for each color channel of the printer, a solution set of correction values is determined as a function of believed gray versus actual gray where the solution set is derived from and includes a white point, a black point and a point mapped at {G<sub>b</sub>, G<sub>a</sub>} where G<sub>b</sub> is a believed gray value and G<sub>a</sub> is an actual gray value for a color patch which exhibits the preferred mid-gray hue. The solution set for each device color channel is added to the color transform path of Figure 1.

12

5

10

15

The steps for one preferred printer calibration method which operates in accordance with the principles of the present invention is illustrated in Figure 3. In step 50, a digital representation of the predetermined color test pattern and the preferred reference gray surface are provided. The test pattern 80 as represented in Figure 4 is designed to specifically aid in locating the preferred mid-gray hue which in this example is established at  $L*a*b* = \{50,0,0\}$ .

The colors in the test pattern vary in a device-independent color space such as, but not limited to, CIELAB. They are chosen and arranged to gradually vary away from the preferred mid-gray hue. The colors consist of an even sampling of CIELCh color space, which are converted to CIELAB before being encoded into 8-bit RGB values. CIELAB (or L\*a\*b\*) is a rectangular coordinate system of device-independent values which have corresponding L\*C\*h\* coordinates in a polar CIELCh coordinate system. Figure 8 illustrates both the CIELAB and CIELCh coordinate systems. The point z has rectangular coordinates of {L\*, a<sub>1</sub>, b<sub>1</sub>} and corresponding polar coordinates of {L\*, C\*,h} where C\* represents a radius, h represents an angle, and L\* represents the scalar of a vector which is perpendicular to both the a\* and b\* axes. Note that the hue of a given point plotted on the polar axis will vary as the hue angle h varies from 0 (red tint), 90 (yellow tint), 180 (green tint) and 270 (blue tint).

The colors in the test pattern 80 of Figure 4 are arranged into a number of sections 82, 84, 86, 88, 90, 92, 94, 96 and 98, each associated with a different hue

13

5

10

15

angle h. The center section 98 corresponds to points having a radius C\* of zero, and thus no hue angle. As illustrated in Figure 5, the center section 98 is designed with nine color patches 100, 102, 104, 106, 108, 110, 112, 114 and 116 where the center patch 108 has a CIELAB value of {50,0,0} which associates with the preferred midgray hue. Each of the remaining sections 82, 84, 86, 88, 90, 92, 94 and 96 of the test pattern 80 consists of fifteen color patches of varying C\* and L\* values as illustrated in Figure 6. The size, shape and number of color patches of the sections 82, 84, 86, 88, 90, 92, 94, 96 and 98 can be varied according to design preference. Also, the number and arrangement of the sections of the color test pattern can be varied as desired. The patches in the various sections of the test pattern 80 preferably vary evenly in L\* and/or C\* values away from the center value of color patch 108.

The predetermined color test pattern 80 is loaded into the computer 6, or into another system component which has processing capabilities, through printer calibration software 4. The test pattern 80 originates as a digital image 14 with red, green and blue color channels. These digits are not actual RGB colors, but rather represent an encoding of the visual colors that are desired to come out of the printer being calibrated. The colors are actually resident in device-independent color space, such as CIELAB.

A profile conforming to the earlier described ICC Profile Format

Specification is created for use with the test pattern 80. This profile converts 8-bit

14

5

10

15

RGB digits into Profile Connection Space values, using the CIELAB option of the ICC specification. The Red channel (R), Green channel (G) and Blue channel (B) are, respectively, converted to L\*, a\* and b\* values using the following formulae:

$L^* = 0.0$	for $0 \le R \le 4$	(1)
$L^* = R/5 + 25.0$	for $5 \le R \le 245$	(2)
$L^* = 100.0$	for $246 \le R \le 255$	(3)
$a^* = 0.0$	for $0 \le G \le 4$	(4)
a* = G/5 - 25.0	for $5 \le G \le 245$	(5)
$a^* = 0.0$	for $246 \le G \le 255$	(6)
$b^* = 0.0$	for $0 \le B \le 4$	(7)
$b^* = G/5 - 25.0$	for $5 \le B \le 245$	(8)
b* = 0.0	for $246 \le B \le 255$	(9)
	$L^* = R/5 + 25.0$ $L^* = 100.0$ $a^* = 0.0$ $a^* = G/5 - 25.0$ $a^* = 0.0$ $b^* = 0.0$ $b^* = G/5 - 25.0$	$L^* = R/5 + 25.0   for 5 \le R \le 245$ $L^* = 100.0   for 246 \le R \le 255$ $a^* = 0.0   for 0 \le G \le 4$ $a^* = G/5 - 25.0   for 5 \le G \le 245$ $a^* = 0.0   for 246 \le G \le 255$ $b^* = 0.0   for 0 \le B \le 4$ $b^* = G/5 - 25.0   for 5 \le B \le 245$

This encoding allows a range of CIELAB values around {50,0,0} to be encoded for inclusion onto the test pattern 80. For a test pattern 80 having the above ranges of L\*a\*b\* values, L\* can vary from 26.0 to 74.0, and a\* and b\* values can vary from -24.0 to 24.0.

As described above, the predetermined color test pattern 80 includes the patch having a preferred mid-gray hue of {50,0,0}. The same preferred mid-gray hue is provided on a reference gray surface, such as a surface of a reference gray card 2 or any other object as desired. This card 2 is designed to reflect across its gray surface the same percentage of light throughout the visible spectrum so that the perceived color of the gray card 2 is uniform on one surface regardless of the spectral

15

15

composition of the actual light source in use. In a preferred embodiment, a hole 3 is punched through the reference card 2 to facilitate its use.

The next step 52 in the printer calibration method is to print the digital image 14 of the predetermined color test pattern 80 onto the printer 24 which is to be calibrated. This requires first transforming the digital image 14 of the test pattern 80 into the printer image 20 by application of transform 16, and thereafter physically printing the hardcopy 30 on printer 24. Effectively, the existing printer profile 44 is used to complete the transformation into printer-dependent CMYK digits.

Once the test pattern 80 has been rendered as a hardcopy 30, then the various patches of the test pattern 80 must be visually manually compared, according to step 56, by the user with the reference gray card 2. This comparison is made under the same lighting conditions that will be used to judge the desired images. By meeting this requirement, there is an accounting of any differences in the perceived color caused by slight differences in the actual light vs. the predicted light.

After comparing the printed color test pattern 80 with the reference gray card 2, the user determines if the preferred mid-gray hue of patch 108 matches the gray hue of the reference card 2 by sliding the card 2 across the printed test pattern and viewing the various color patches of the test pattern 80 through the viewing hole 3. If the center patch 108 most closely matches the hue of the reference card 2, then the process ends in step 66. If not, then the CMYK values of the color patch on the test

16

5

10

15

pattern 80 which most closely resembles the color of the reference card 2 is selected by the user and stored.

The process continues in step 60 which provides for generation of a solution set of correction values for proper calibration. The device-dependent CMYK digits used to produce the actual closest matching patch are determined and stored. Turning to Figure 7, for each CMYK color channel the believed C, M, Y or K value as determined by the original transform 16 is generated and plotted as the Believed Value versus the Actual Value which corresponds to the C, M, Y and K value of the user-selected closest matching color patch of the test pattern 80. The calibration of Believed versus Actual Values for the, in this case, four device color channels will yield the point P which is used along with the normalized white (1.0,1.0) and black (0.0, 0.0) points to yield a solution set or correction curve K of estimated correction values δ(Believed Value, Actual Value) derived, for instance, by taking the difference at any given point between straight line S and the correction curve K.

Specifically, for each color channel of the printer 24 uses, the printer digit that is believed to produce the preferred mid-gray hue of the reference gray card 2 is paired with the printer digit that was found to actually produce the preferred mid-gray hue of the reference gray card 2. These paired digits are then scaled by dividing by the maximum device digit value to produce normalized values between 0.0 and 1.0. A smooth curve is then generated that passes through the points (0.0, 0.0), (normalized believed gray, normalized actual gray), and (1.0, 1.0) as illustrated in

17

5

10

15

Figure 7. The curve, which is based on the difference between the normalized believed gray value and the normalized actual gray value, can be generated from known curve generation functions. The resolution of the curve is potentially infinite and the correction values  $\delta(Believed\ Value,\ Actual\ Value)$  can be derived directly from a mathematical function which depicts the curve K, or they can be stored in a lookup table.

There are many ways to compute the correction curve, including using a power function and computing a smooth spline. Another way to create the curve, as described above, is to use the difference between the normalized actual gray and the normalized believed gray as the maximum offset from an identity curve (y = x).

Offsets vary smoothly from zero to the maximum value and back to zero. One way to vary the offsets is to use a pair of quadratic functions. The resulting curve is defined as:

$$y = x + ((actual - believed) * F(x))$$
 (10)

15 
$$F(x) = 1 - ((believed - x) / believed)^2 for x \le believed (11)$$

$$F(x) = 1 - ((x - believed) / (1 - believed))^2 \qquad \text{for } x > believed \qquad (12)$$

When complete, the number of correction curves computed equals the number of color channels of the printer being calibrated.

The correction curves are then introduced into the transform chain according to step 62. This can be accomplished in three ways.

18

1. The curves can be used to adjust the printer profile 44 or other transform directly. The resulting profile or transform is then considered to be customized for the printer 24 being calibrated, and should not be used for any other printer.

- 2. The curves can be distilled into lookup tables (not shown) that translate the original device-dependent printer digits into different device-dependent printer digits. These lookup tables can then be used to modify each pixel of the printer image 22 (that which is produced with the normal color transform 18) as it is moved from memory to the printer 26. This introduces a second transform into the transform path.
- 3. The curves can be distilled into lookup tables that transform devicedependent printer digits into different device-dependent printer digits. These lookup
  tables can then be downloaded to the printer 26 to effectively change the behavior of
  the printer 26. Thus, the printer 26 now behaves closer to the way its modified
  transform 18 expects it to behave.

Once the correction curves are placed in the transform path, the test pattern can be transformed and printed once again to test the results of the calibration. If the correction was ideal, then the center gray patch of the printed test pattern 30 should visually match the reference gray card 2. This will not always be the case, due to the fact that the printed test pattern 30 does not contain every possible color near the preferred mid-gray hue. In this case, the closest match might not be close enough.

19

5

15

The match will also be incorrect if the operator chooses a patch that is not the closest match.

Since the new test pattern's center gray patch does not match the reference gray card 2, then the above procedure is run again, i.e the process of Figure 3 loops from step 64 to step 52. The operator again chooses the closest match from the new test pattern. Since the correction curves effectively change the printer's behavior, the colors in the new test pattern will be different from the ones in the original test pattern. This increases the possibility that a matching gray will appear on the test pattern, since each iteration of the method brings the center patch closer to the preferred mid-gray hue.

In effect, the original predetermined color test pattern will appear as a modified test pattern with the previously selected color patch which most closely resembles the hue of the reference gray card 2 now being positioned at patch 108 in the test pattern of Figures 4 and 5. Again, the surrounding patches are distributed as an even sampling of colors surrounding the color of the center patch.

The above steps are repeated to calculate a new solution set or correction curves. The new solution set or correction curves must then be concatenated with the previous solution set or correction curves in order to result in a single solution set or set of curves, respectively. However, this operation can be the source of error. If the correction values have been stored in lookup tables, these tables contain some small quantization error caused by rounding to the nearest device digit.

20

5

10

15

Concatenation of multiple lookup tables can cause the quantization error to accumulate to a noticeable degree.

This problem can be eliminated by retaining the original transform 16 or profile 44 used for the printer 24. This original transform 16 or profile 44 is always used to determine the device-dependent printer digits that are believed to produce the CIELAB color {50,0,0}. The solution set or correction curves that come from the pairing of the new actual-gray digits and the original believed-gray digits completely replace the solution set or correction curves used previously. Note that if the previous solution set or correction curves were used to adjust the transform 16 or the profile 44, then they are replaced by a new transform or profile by adjusting the original transform or profile using the new solution set of correction values. By using this method, the quantization error is limited to that caused by quantizing the solution set a single time.

It is to be understood that the above described embodiments are merely illustrative of the present invention and represent a limited number of the possible specific embodiments that can provide applications of the principles of the invention. Further, commonly known descriptors for the color spaces, input and output devices, transforms and profiles used in the preferred embodiments are exemplary and are readily expandable to equivalents as understood by those skilled in the art. Numerous and varied other arrangements may be readily devised in accordance with these principles by those skilled in the art without departing from the spirit and scope of the invention as claimed.

21

5

10

15

CLAIMS:

5

10

15

1. A method for calibrating a printer comprising the steps of:

printing a predetermined color test pattern with the printer by transforming data, representing said predetermined color test pattern, from a device-independent color space to a device-dependent color space associated with the printer by use of a color transform, said predetermined color test pattern including a set of color patches with one of said color patches representing a preferred mid-gray hue;

providing a predetermined reference gray surface;

comparing said reference gray surface to said set of colored patches of the printed test pattern to find a closest matching one of said patches, if the closest matching one of said patches is the patch representing the preferred mid-gray hue, then ending the method; otherwise

determining, for each color channel of the printer, a solution set of correction values as a function of believed values versus actual values, said solution set derived from and including a white point, a black point and a point mapped at  $\{G_b, G_a\}$  where  $G_b$  is the believed value of the closest matching one of said patches and  $G_a$  is the actual value of the closest matching one of said patches; and

adding to the color transform the corresponding solution set for each color channel of the printer.

2. The method of claim 1 further comprising the steps of: modifying said predetermined color test pattern by replacing the set of color patches therein so that the closest matching one of said patches is spatially located at the previous location of the believed mid-gray hue patch, then; repeating the steps of claim 1 by replacing the predetermined color test pattern with the modified test pattern.

- 3. The method of claim 1 wherein, in CIELAB values, said predetermined reference gray surface is {50,0,0}, the white point is {100,0,0} and the black point is {0,0,0}.
- 4. The method of claim 1 wherein the color transform is facilitated by use of a profile.
  - 5. A system for calibrating a printer comprising:

means for transforming data, representing a predetermined color test pattern, from a device-independent color space to a device-dependent color space associated with the printer by use of a color transform, said predetermined color test pattern including a set of color patches with one of said color patches representing a preferred mid-gray hue;

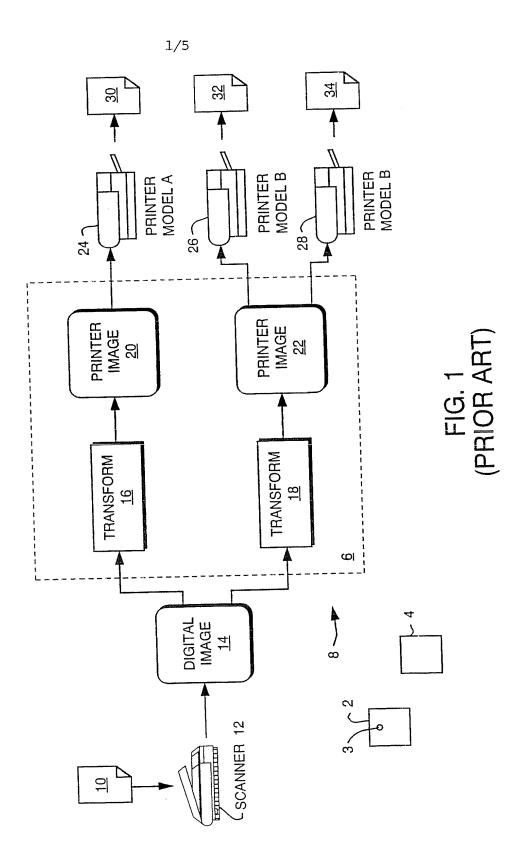
means for comparing a reference gray surface to said set of colored patches of the test pattern rendered by the printer to find a closest matching one of said patches, if the closest matching one of said patches is the patch representing the preferred mid-gray hue, then stopping calibration, otherwise determining, for each color channel of the printer, a

23

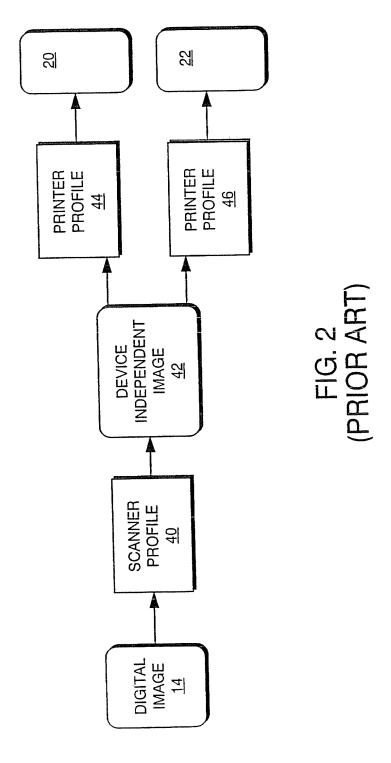
solution set of correction values as a function of believed values versus actual values, said solution set derived from and including a white point, a black point and a point mapped at  $\{G_b, G_a\}$  where  $G_b$  is the believed value of the closest matching one of said patches and  $G_a$  is the actual value of the closest matching one of said patches; and

means for adding to the color transform the corresponding solution set for each color channel of the printer.

- 6. The system of claim 5 further comprising means for modifying said predetermined color test pattern by replacing the set of color patches therein so that the closest matching one of said patches is spatially located at the previous location of the preferred mid-gray hue patch.
- 7. The system of claim 5 wherein, in CIELAB values, said predetermined reference gray surface is {50,0,0}, the white point is {100,0,0} and the black point is {0,0,0}.
- 8. The system of claim 5 wherein the color transform is facilitated by use of a profile.



SUBSTITUTE SHEET (RULE 26)



SUBSTITUTE SHEET (RULE 26)

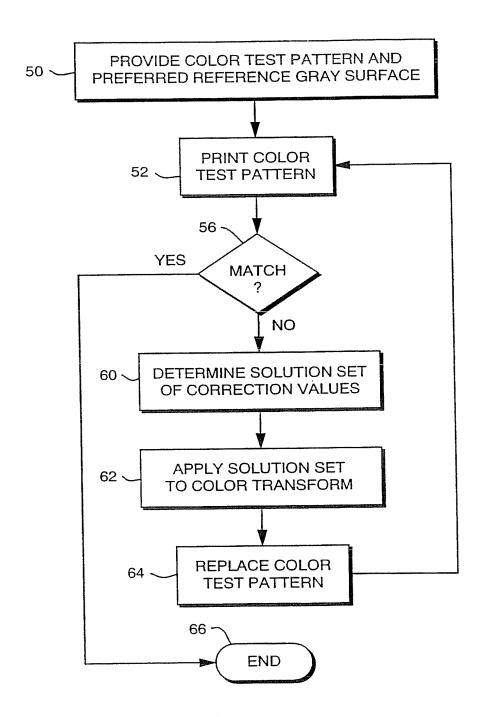


FIG. 3

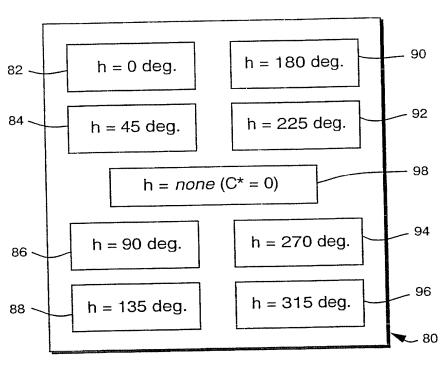
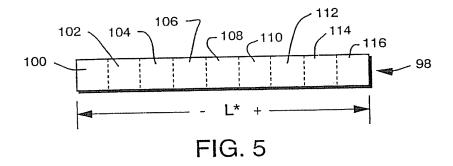


FIG. 4



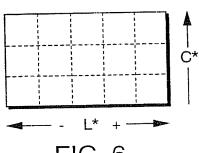


FIG. 6

### SUBSTITUTE SHEET (RULE 26)

5/5

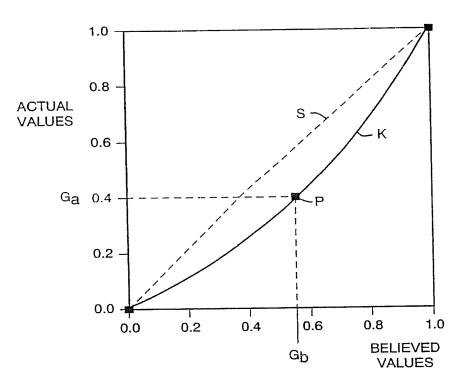


FIG. 7

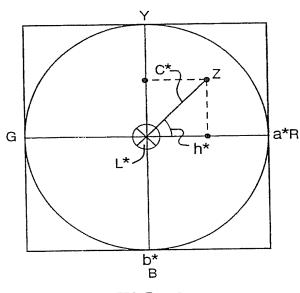


FIG. 8 (PRIOR ART)

SUBSTITUTE SHEET (RULE 26)

## INTERNATIONAL SEARCH REPORT

Inter nal Application No PCT/US 98/20969

A. CLASSI	FICATION OF SUBJECT MATTER H04N1/60			
According to	o International Patent Classification (IPC) or to both national classificatio	n and IPC .		
B. FIELDS	SEARCHED cumentation searched (classification system followed by classification	symbols)		
IPC 6	HO4N	-,····		
Documenta	tion searched other than minimum documentation to the extent that sucl	h documents are included in the fields sea	arched	
Flectronic	data base consulted during the international search (name of data base	and, where practical, search terms used)		
C DOCUM	IENTS CONSIDERED TO BE RELEVANT			
Category °	Citation of document, with indication, where appropriate, of the relev	ant passages	Relevant to claim No.	
Α	EP 0 685 962 A (XEROX CORP)		1-3,5,7	
	6 December 1995 see the whole document			
	- Anna Again Allans		1 5	
Α	US 4 310 248 A (MEREDITH NOLAN J)		1,5	
	12 January 1982 see abstract; claims; figures			
	white major white		1,5	
Α	EP 0 794 657 A (HEWLETT PACKARD CO	))	1,5	
	see abstract; figures			
			1	
A	EP 0 624 028 A (EASTMAN KODAK CO) 9 November 1994		•	
Fu	urther documents are listed in the continuation of box C.	χ Patent family members are listed	in annex.	
° Special	categories of cited documents:	T" later document published after the into	emational filing date	
"A" docu	ment defining the general state of the art which is not sidered to be of particular relevance	or priority date and not in conflict with cited to understand the principle or th invention	eory underlying the	
"E" earlie	er document but published on or after the international	"V" document of particular relevance the	claimed invention	
"" " door"	g date ment which may throw doubts on priority claim(s) or ch is cited to establish the publication date of another	cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention		
citat	tion or other special reason (as specified)	cannot be considered to involve an II document is combined with one or m	ore other such docu-	
othe	ument referring to an oral disclosure, use, exhibition or er means	ments, such combination being obvious in the art.	ous to a person skilled	
late	a diam the phoney date drawner	"&" document member of the same paten		
Date of the	he actual completion of the international search	Date of mailing of the international se	earch report	
	20 January 1999	26/01/1999		
Name ar	nd mailing address of the ISA	Authorized officer		
	European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk			
	Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Isa, S		

Form PCT/ISA/210 (second sheet) (July 1992)

### INTERNATIONAL SEARCH REPORT

information on patent family members

Inter: nal Application No
PCT/US 98/20969

Patent document cited in search report				atent family member(s)	Publication date	
EP 0685962	А	06-12-1995	US BR JP	5604567 A 9502643 A 8009180 A	18-02-1997 02-01-1996 12-01-1996	
US 4310248	Α	12-01-1982	NONE			
EP 0794657	Α	10-09-1997	JP	10006563 A	13-01-1998	
EP 0624028	Α	09-11-1994	JP US	7015612 A 5786823 A	17-01-1995 28-07-1998	

Form PCT/ISA/210 (patent family annex) (July 1992)

BNSDOCID: <WO\_\_\_\_\_9920036A1\_l\_>